#### MINI-REVIEW



### Sporulation in solventogenic and acetogenic clostridia

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#### **Abstract**

The Clostridium genus harbors compelling organisms for biotechnological production processes; while acetogenic clostridia can fix C1-compounds to produce acetate and ethanol, solventogenic clostridia can utilize a wide range of carbon sources to produce commercially valuable carboxylic acids, alcohols, and ketones by fermentation. Despite their potential, the conversion by these bacteria of carbohydrates or C1 compounds to alcohols is not cost-effective enough to result in economically viable processes. Engineering solventogenic clostridia by impairing sporulation is one of the investigated approaches to improve solvent productivity. Sporulation is a cell differentiation process triggered in bacteria in response to exposure to environmental stressors. The generated spores are metabolically inactive but resistant to harsh conditions (UV, chemicals, heat, oxygen). In Firmicutes, sporulation has been mainly studied in bacilli and pathogenic clostridia, and our knowledge of sporulation in solvent-producing or acetogenic clostridia is limited. Still, sporulation is an integral part of the cellular physiology of clostridia; thus, understanding the regulation of sporulation and its connection to solvent production may give clues to improve the performance of solventogenic clostridia. This review aims to provide an overview of the triggers, characteristics, and regulatory mechanism of sporulation in solventogenic clostridia. Those are further compared to the current knowledge on sporulation in the industrially relevant acetogenic clostridia. Finally, the potential applications of spores for process improvement are discussed.

#### **Key Points**

- The regulatory network governing sporulation initiation varies in solventogenic clostridia.
- Media composition and cell density are the main triggers of sporulation.
- Spores can be used to improve the fermentation process.

Keywords Solventogenic clostridia · Sporulation · Quorum sensing · Sigma factors · ABE production · Acetogens

#### Introduction

As a growing part of the world's population is getting access to affordable energy, the global energy demand is increasing drastically. The energy consumed mainly originates from fossil resources, resulting in an acceleration of the depletion of natural resources and increased greenhouse gas (GHG) emissions. To inverse this trend, our societies are transitioning

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towards more sustainable economies, and countries worldwide are promoting the renewable energy sector. While substitutions to fossil energy generation processes such as hydrothermal, geothermal, solar, or wind energy are promoted, few alternatives to oil for freight, aviation, or the petrochemical sector are cost-effective. "Advanced biofuels," defined by the International Energy Agency (IEA) as liquid or gaseous fuels derived from lignocellulosic (second-generation biofuel) or algal biomass (third-generation biofuel), are among the most promising substitutes to oil. These feedstocks have a relatively diverse composition and are available as complex polymeric structures (lignocellulose) that require dedicated enzymes to release the fermentable sugars. Some bacterial species from the *Clostridium* genus can hydrolyze these polymers, and ferment the carbohydrates to produce solvents. Clostridia are anaerobic and spore-forming Gram-positive bacteria, and the entire Clostridium genus currently comprises over 270 species (https://www.bacterio.net/), including



pathogenic, probiotic, thermophilic, and benign soil bacteria. However, the Clostridium genus proposed by Prazmowski in 1880 (Prazmowski 1880) is not a monophyletic group (Collins et al. 1994; Jones 2001; Yutin and Galperin 2013), and only a subset of the 16S rRNA tree (cluster I), Clostridium sensu stricto is currently recognized as the genus's representative (Cruz-Morales et al. 2019; Gupta and Gao 2009; Lawson and Rainey 2016). Several non-pathogenic clostridia have been studied for the production of advanced fuels and other biochemicals (Tracy et al. 2012). These species are commonly divided into acid-producing, solvent-producing, cellulolytic, and acetogenic species (Dürre 2005). These bacteria convert simple and complex carbon sources, from C1 compounds to cellulose, into a diverse range of metabolites, ranging from carboxylic acids such as acetate or butyrate to solvents like butanol and propanol. Ten Clostridium species are known to be solventogenic (Poehlein et al. 2017), see Table 1, with C. acetobutylicum, C. beijerinckii, C. pasteurianum, C. saccharobutylicum, and C. saccharoperbutylacetonicum being the most studied. These species have been used industrially during the twentieth century for acetone production through the ABE fermentation process (Berezina et al. 2012; Jones and Woods 1986; Jones 2001; Sauer 2016), but other solventogenic strains with high solvent productivity were isolated recently (Xin et al. 2018).

Despite many efforts, bioprocesses relying on these bacteria are not cost-effective due to high feedstock costs, expensive pretreatment of the feedstock, poor substrate use, and low solvent productivity (Green 2011; Tashiro et al. 2013). These issues need to be tackled to enable competitive biofuel prices. According to estimates, the product titer in a bioprocess aiming for the biofuel market needs to reach at least 50 g L<sup>-1</sup>, and the productivity should amount to 3 g L<sup>-1</sup> h<sup>-1</sup> to be commercially viable (Vees et al. 2020). While in standard batch conditions, solvent productivity has been reported to reach 10 g L<sup>-1</sup> h<sup>-1</sup> with clostridial fermentation, the clostridial maximum butanol titer in batch fermentation could not exceed 25 g L<sup>-1</sup> without the application of in

situ solvent removal techniques to prevent toxicity (Annous and Blaschek 1991; Qureshi and Blaschek 2001; Wang et al. 2019; Xu et al. 2015). It appears that both solvent toxicity and sporulation prevent the production of higher solvent titers (Cheng et al. 2019; Papoutsakis 2008). During the fermentation, bacteria are grown under stringent conditions, which are necessary for solvent production yet harsh for the cells. As a defense mechanism, the bacteria differentiate into highly resistant cells called endospores (henceforth designated as spores) while producing solvents. Spores are metabolically inactive, and their formation not only requires metabolic energy but also impairs solvent productivity (Tracy et al. 2012). Several efforts were made to engineer asporogenous solvent-producing strains, to prevent these undesirable effects (Al-Hinai et al. 2014; Bi et al. 2011; Scotcher and Bennett 2005). The regulation of sporulation and solventogenesis appears to be coupled, but the underlying regulatory networks remain unclear (Patakova et al. 2013). Due to the lack of efficient engineering tools for *Clostridium*, studies on the regulation of sporulation have been scarce. The recent development of markerless tools for clostridia (Atmadjaja et al. 2019; Cañadas et al. 2019; Diallo et al. 2020a; Huang et al. 2019; Joseph et al. 2018; Li et al. 2019; Seys et al. 2020; Wasels et al. 2020; Zhao et al. 2019) has made the genetic engineering of clostridia much more attainable. As a result, the number of engineered clostridia increased substantially and together with the rise of omics studies, the current knowledge on sporulation in solventogenic clostridia has expanded considerably.

### Sporulation regulation in solventogenic clostridia

In response to changes in the environment, some bacteria produce spores to survive under unfavorable conditions. Depending on the formation mechanism and the structure, different spore types can be found in the environment (Paul et al. 2019). The spores, formed by *Firmicutes*, called

Table 1 Solventogenic clostridia available in international strain collections and main fermentation products

Specie	Products	Reference
C. acetobutylicum	Butanol, ethanol, acetone, acetate, butyrate, propanediol	(Forsberg 1987; Jones and Woods 1986; Keis et al. 2001; Shaheen et al. 2000)
C. beijerinckii	Butanol, ethanol, acetone, acetate, butyrate, 2,3-butanediol, 2- propanol, propionate, n-propanol, propanediol, isopropanol	(Diallo et al. 2018; Forsberg 1987; Keis et al. 2001; Mate de Gerando et al. 2018; Raedts et al. 2014; Sedlar et al. 2021; Shaheen et al. 2000)
C. pasteurianum	Butanol, ethanol, acetone, acetate, butyrate	(Gallazzi et al. 2015; Malaviya et al. 2012; Xin et al. 2016)
C. saccharobutylicum	Butanol, ethanol, acetone, acetate, butyrate	(Jones and Woods 1986; Keis et al. 2001; Shaheen et al. 2000)
C. saccharoper-butylacetonicum	Butanol, ethanol, acetone, acetate, butyrate	(Jones and Woods 1986; Keis et al. 2001; Shaheen et al. 2000)
C. puniceum	Butanol, acetone, acetate, butyrate	(Berezina et al. 2012; Lund et al. 1981)
C. aurantibutyricum	Butanol, ethanol, acetone, acetate, butyrate, isopropanol	(George et al. 1983; Jones and Woods 1986)
C. felsineum	Butanol, ethanol, acetone, acetate, butyrate	(Avrova et al. 1981; Poehlein et al. 2017; Sjolander et al. 1938)
C. tetanomorphum	Butanol, ethanol, acetate, butyrate	(Gong et al. 2016; Patakova et al. 2014)
C. roseum	Butanol, ethanol, acetone, acetate, butyrate	(Abd-Alla et al. 2017; Poehlein et al. 2017)



endospores (Dürre 2014; Johnson 2019), are the most resilient. Endospores can survive harsh treatments such as high temperatures, the presence of oxygen (for anaerobic bacteria), desiccation, lysozyme incubation, ionizing radiation, and chemical solvents. The most studied sporulating bacteria belong to the *Bacillus* and *Clostridium* genera. The sporulation process was first described in *Bacillus* (Dawes and Mandelstam 1970; Kay and Warren 1968; Knaysi 1948; Tokuyasu and Yamada 1959), the model organism among spore formers, and the main features of its sporulation process are conserved in the *Clostridium* genus. Still, substantial differences in the spore morphology and sporulation initiation have been demonstrated between the two genera and within the *Clostridium* genus (Al-Hinai et al. 2015; Dürre 2014).

A sporulation model for the solventogenic clostridia (Al-Hinai et al. 2015) has been developed thanks to studies in C. acetobutylicum ATCC 824. Few studies were done on sporulation in other solventogenic clostridia strains to confirm the universality of this model. Although solventogenic clostridia are often presented as a homogenous group of bacteria, based on the first phylogenic studies on the *Clostridium* genus (Collins et al. 1994; Keis et al. 1995), this is not the case. Several strains were renamed and reclassified since 2000 (Keis et al. 2001), and recent phylogenic studies (Cruz-Morales et al. 2019; Yu et al. 2019) show that C. beijerinckii and C. acetobutylicum even belong to two different clades. Out of the seventeen clades dividing the Clostridium genus "sensu stricto," solventogenic clostridia can be found in two groups, one harboring C. acetobutylicum and C. pasteurianum and another consisting of C. beijerinckii, C. saccharoperbutylacetonicum, and C. saccharobutylicum. Phylogenetically, C. beijerinckii is closer to the human pathogens C. perfringens and C. botulinum E than to the model solventogenic clostridia C. acetobutylicum, as depicted in Fig. 1. In line with what has been suggested for toxin genes (Cruz-Morales et al. 2019), solventogenesis genes might have been acquired by horizontal transfer. The localization of the sol operon, harboring the essential solvent genes, on a megaplasmid in C. acetobutylicum contrasting with the chromosomal sol operon in other clostridia supports this hypothesis. Thus, the regulation mechanisms described for C. acetobutylicum might not be identical in C. beijerinckii or other solventogenic species (Patakova et al. 2013).

#### The sporulation cycle

#### The initiation of sporulation

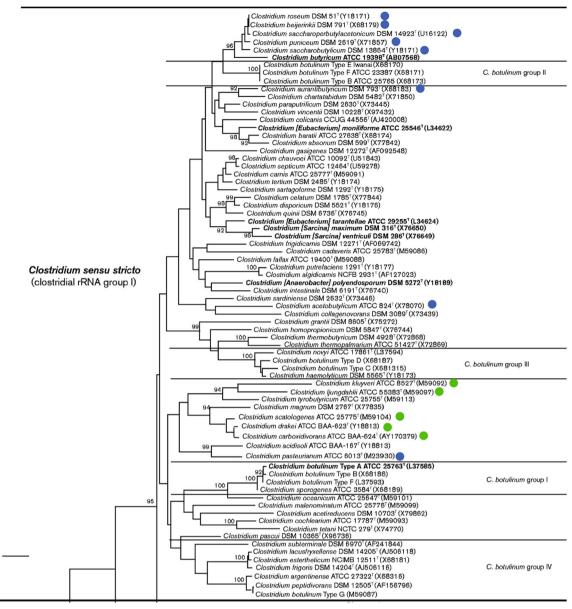
The sporulation regulation network described in *Bacillus* has been used as a template for understanding the sporulation cascade in *Clostridium* (Davidson et al. 2018; Decker and Ramamurthi 2017; Paredes et al. 2005; Piggot and Hilbert 2004). However, several sporulation genes identified in

Bacillus are absent in Clostridium, indicating a difference in the molecular regulation. Genome comparison studies were conducted to identify homologs to the sporulation genes studied in B. subtilis and the necessary set of genes required for sporulation (Galperin 2013; Galperin et al. 2012; Traag et al. 2013). Fifty-two genes were identified as essential for sporulation as they were found both in sporulating clostridia and bacilli by comparing more than 217 genomes of sporulating and non-sporulating Firmicutes. Even when gene homologs are found in Clostridium, their functions are not always identical to their homologs in *Bacillus* (Al-Hinai et al. 2015; Fimlaid and Shen 2015). Genome comparison, coupled with transcriptomic studies, enabled the identification of additional sporulation genes in solventogenic clostridia (Grimmler et al. 2011; Lee et al. 2015; Máté de Gérando et al. 2018; Sedlar et al. 2018). The role of the central sporulation regulators and sigma factors were studied in solventogenic clostridia through the generation of asporogenous mutants, mainly in C. acetobutylicum ATCC 824 and more recently in other solventogenic clostridia (Table 2).

The regulation model of sporulation in *Clostridium* is divided, like in *Bacillus*, into seven stages associated with morphological changes of the cell. In most clostridia, the sporulation process coincides with granulose accumulation and ends with the lysis of the mother cell and the release of the spores in the environment (Fig. 2). Sporulation is initiated at the end of vegetative growth and is reflected at the transcriptomic level by an increase in *spo0A* expression. Spo0A, the general regulator of the transition from vegetative to stationary growth, is conserved in all *Firmicutes* and has a central role in sporulation, toxin, and solvent production (Al-Hinai et al. 2015; Dürre 2014; Jones et al. 2008; Paredes et al. 2005; Ravagnani et al. 2000; Sauer et al. 1995).

In Bacillus, at the end of vegetative growth, AbrB lifts its repression on  $\sigma^H$ ;  $\sigma^H$  then promotes the transcription of spo0A (Piggot and Hilbert 2004). In solventogenic clostridia, the role of  $\sigma^{H}$  in *spo0A* regulation is unknown. The gene encoding  $\sigma^H$  is constitutively expressed in clostridia, which implies that the mechanism occurring in Clostridium differs from the one described in *Bacillus*. Several AbrB homologs were identified in solventogenic clostridia, in C. acetobutylicum ATCC 824, three homologs (cac0310, cac1941, and cac3647) were disrupted to study their role in the regulation of cellular events. The disruption of the most expressed AbrB homolog, cac0310, delayed sporulation and impaired solvent production (Scotcher et al. 2005). The disruption of cac3647 increased solvent production, while the solvent production of  $\Delta cac1941$  cultures decreased by 6% compared to the wild type (Xue et al. 2016). No change in the sporulation of the  $\triangle cac1941$  or  $\triangle cac3647$  mutant was reported. According to these results, AbrB homologs belong to the sporulation and solvent regulation network in solventogenic clostridia. Unlike in Bacillus, these results





**Fig. 1** Phylogenetic tree of the *Clostridium sensu stricto* group, amended from (Lawson and Rainey 2016) with permission of the Microbiology Society. The tree was reconstructed using the neighbor-joining method based on the pairwise comparison of approximately 1340 nt. *Atopobium parvulum* was used as the outgroup. Bootstrap values (> 90 %), expressed

as a percentage of 1000 replications. Bar, 1% sequence divergence. A blue disc next to the name of a The strains next to in the blue discs and purple boxes belong indicates that this strain belongs to the solventogenic group, while a green disk next to the name of a strain indicates that this strain belongs to the and the acetogenic clostridia

indicate that AbrB (Cac0310) may promote sporulation in *C. acetobutylicum*. The Spo0A DNA binding motif, called 0A box, was found upstream of *cac0310* and its homolog in *C. beijerinckii* NCIMB 8052, *cbei4885*, indicating that these *abrB* homologs belong to the Spo0A regulon. In fact, the disruption of this 0A box might impair solvent production and sporulation; one of the SNPs detected in asporogenous offspring of *C. beijerinckii* SA-1 (Table 2) was an SNP in the 0A box of *cbei4885* (Seo et al. 2017a).

Mutations in the *spo0A* coding sequence of solventogenic clostridia have been shown to affect cell physiology considerably. Changes in growth, colony morphology, sporulation, and solvent productivity have been reported. Numerous *spo0A* mutants have been characterized (Table 2), and all have impaired sporulation with sometimes a change in solvent productivity (Atmadjaja et al. 2019; Harris et al. 2002; Seo et al. 2017b). The consequences for the phenotype seem to depend on the mutation location and the studied species. The Spo0A sequence harbors various domains that are conserved among



**Table 2** Mutant strains generated from solventogenic clostridia with changes in sporulation compared to the wild type. When a gene is disrupted or its expression is reduced, "-" is added; when the gene is overexpressed, '+' is added next to the gene abbreviation. NA stands for "data not available"

	Parent strain	Genotype	Sporulation	ABE production	Method	Reference
acetobutylicum	ATCC 824	spo0A	No sporulation	Important decrease	Double-crossover chromosomal	(Harris et al. 2002)
	ATCC 824	spo0A	Reduced sporulation	Important decrease	integration Allelic coupled exchange (ACE)	(Ehsaan et al. 2016)
	ATCC 824	$spo0A^+$ ; native promoter	Early spondation	NA	Allelic coupled exchange (ACE)	(Ehsaan et al. 2016)
	ATCC 824	$spo0A^+$ ; ferredoxin promoter	Early sporulation	NA	Allelic coupled exchange (ACE)	(Ehsaan et al. 2016)
acetobutylicum	ATCC 824	spo0A <sup>-</sup>	Reduced sporulation	Important decrease	CRISPR-Cas9i	(Li et al. 2016)
	ATCC 824	$spo0A^+$ ; native promoter + 43 bp from the ubstream $spoIVB$	Early and increased sporulation	Similar to WT	Plasmid overexpression	(Alsaker et al. 2004; Harris et al. 2002)
	ATCC 824	spo0A-G179S	Reduced sporulation and no heat resistance	No production	Double-crossover allelic exchange	(Foulquier et al. 2019)
	ATCC 824	lys-	Reduced sporulation	Similar to WT	Clostron	(Liu et al. 2015)
	ATCC 824	spollE -	Reduced sporulation	Increase	antisense RNA	(Scotcher and Bennett 2005)
	ATCC 824	spollE -	No sporulation	Slight decrease (30%)	single-crossover chromosomal	(Bi et al. 2011)
	ATCC 824	sigE -	No sporulation	Similar but inoculum	integration single-crossover chromosomal	(Tracy et al. 2011)
				dependent	integration	
	ATCC 824	sigF	No sporulation	Similar but inoculum	single-crossover chromosomal	(Jones et al. 2011)
	ATCC 824	sigG -	No sporulation	Similar to WT	single-crossover chromosomal	(Tracy et al. 2011)
	ATCC 824	sigK	No sporulation	Important decrease	integration double-crossover chromosomal	(Al-Hinai et al. 2014)
	ATCC 824	$sigK$ , $spo0A^+$ ; $ptb$ promoter	No sporulation	Similar to WT	integration Double-crossover chromosomal	(Al-Hinai et al. 2014)
	$\triangle sigK$				integration	
acetobutylicum	ATCC 824	cac043.7 =	Increased sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac0437 <sup>+</sup>	Reduced sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac0323 -	Reduced sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac0903 -	Reduced sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac3319 -	Reduced sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac3319	No sporulation	Increased production	Clostron	(Xu et al. 2017)
	ATCC 824	cac0323 <sup>-</sup> ; cac0903 <sup>-</sup>	No sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac0323 <sup>-</sup> ; cac3319 <sup>-</sup>	No sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac3319 <sup>-</sup> ; cac0437 <sup>-</sup>	Increased sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	cac0903 <sup>-</sup> ; cac0437 <sup>-</sup>	Increased sporulation	NA	Clostron	(Steiner et al. 2011)
	ATCC 824	abrB310	Reduced sporulation	Important decrease	Antisense RNA	(Scotcher et al. 2005)
	ATCC 824	agrA <sup>-</sup>	Reduced sporulation	Similar to WT	Clostron	(Steiner et al. 2012)
	ATCC 824	agrB	Reduced sporulation	Similar to WT	Clostron	(Steiner et al. 2012)
	ATCC 824	agrC	Reduced sporulation	Similar to WT	Clostron	(Steiner et al. 2012)
	ATCC 824	pks	No sporulation	Increased production	Double-crossover allelic exchange	(Herman et al. 2017)
	ATCC 824	$qsrB^+$	Increased sporulation	Important decrease	Plasmid overexpression	(Kotte et al. 2020)
	ATCC 824	qsrG	Decrease in heat resistant spores	Decreased production	Clostron	(Kotte et al. 2020)
	ATCC 824	ccpA <sup>-</sup>	Delayed and reduced	Similar to WT with pH	Targetron	(Ren et al. 2012)
	20 DOE 4	77		control	VIV.	
	ATCC 824 ATCC 824	ptb SNPs in 8 sporulation genes + SNPs in the	No sporulation No sporulation	Important decrease Increased production	antisense KNA Random mutagenesis and directed	(Desai and Papoutsakis 1999) (Hu et al. 2011)
	ATCC 4259	promoter of 2 sporulation genes Unknown	No sporulation	Increased production	evolution selection Random mutagenesis	

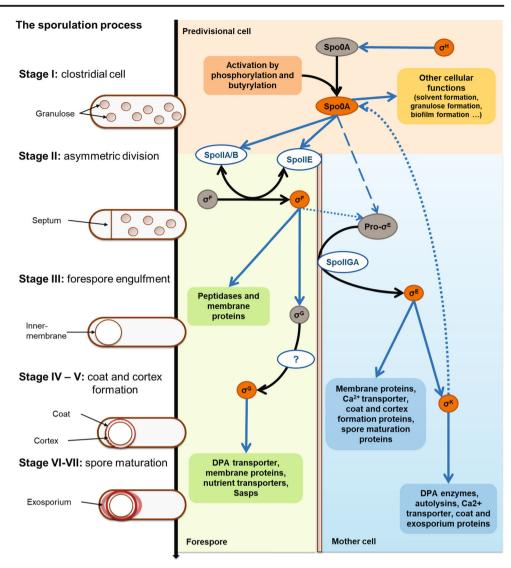


(continued)	
Table 2	

	Parent strain Genotype	Genotype	Sporulation	ABE production	Method	Reference
C. beijerinckii	CGMCC 5234 NCIMB 8052	spo0A <sup>-</sup> spo0A <sup>-</sup>	Reduced sporulation No sporulation	Important decrease Important decrease	Clostron Chromosomal integration	(Jain et al. 1994) (Chen et al. 2013) (Ravagnaniet al. 2000; Wilkinson
	NCIMB 8052	spo0A	Reduced sporulation	Decreased production	CRISPR-Cas9i	et al. 1993) (Li et al. 2016)
	<b>NRRL B-598</b>	$spo0A^+$ ; ferredoxin promoter	No sporulation	Important decrease	Plasmid overexpression	(Kolek et al. 2017)
:	NCIMB 8052	spollE	No sporulation		CRISPR-Cas9	(Diallo et al. 2020a, 2020b)
C. beijerinckii	NCIMB 8052	2 SNPs (cbei_3078 and cbei_4400)	Reduced and delayed sporulation	Important decrease	Random mutagenesis and directed evolution selection	(Seo et al. 2021; Shi and Blaschek 2008)
	NCIMB 8052	Unknown (the authors suggest SNPs in <i>cbei_0769</i> and <i>cbei_4400</i> )	Delayed sporulation	Increased production	Random mutagenesis and directed evolution selection	(Sandoval-Espinola et al. 2013)
	SA-1	2 SNPs (0A box of abrB homolog cbei_4895) No sporulation	No sporulation	Important decrease	Random mutagenesis and directed evolution selection	(Seo et al. 2017a)
	NCIMB 8052	Unknown	No sporulation	Important decrease	Random mutagenesis	(Jiao et al. 2016; Zhang et al. 2017)
	CC101	cbei_2073 -	Decrease in heat resistant spores	Increased production	CRISPR-Cas9n	(Xin et al. 2020)
	CC101	cbei_4484	Decrease in heat resistant spores	Increased production	CRISPR-Cas9n	(Xin et al. 2020)
	NCIMB 8052	sigL	Decrease in heat resistant spores	No production	CRISPR-Cas9	(Hocq et al. 2019)
	NRRL B-593	sigL <sup>-</sup> ; 14 additional SNPs	Decrease in heat resistant spores	No production	Random mutagenesis and directed evolution selection	(Hocq et al. 2019)
C. pasteurianum	ATCC 6013	spo0A <sup>2</sup> ; 66 additional SNPs	No sporulation	Increased production	Random mutagenesis and directed evolution selection	(Sandoval et al. 2015)
	ATCC 6013	spo0A	No sporulation	Increased production	Double-crossover allelic exchange	(Sandoval et al. 2015)
	DSM 525-H1	spo0A	No sporulation	Increased production	Allelic coupled exchange (ACE)	(Schwarz et al. 2017)
C. saccharo-butylicum	NCP 262	spo0A-G172S	Reduced sporulation no heat	No production	Double-crossover allelic exchange	(Foulquier et al. 2019)
C. saccharoperbutyl-acetonicum N14(HMT)	N14(HMT)	spo0A-1261T	No sporulation	Similar to WT	Endogenous CRISPR-Cas	(Atmadjaja et al. 2019)
	N1-4(HMT)	qssI	Increased sporulation	Similar to WT with pH control	CRISPR-Cas9	(Feng et al. 2020)
	N1-4(HMT)	qss2	Increased sporulation	Similar to WT with pH control	CRISPR-Cas9	(Feng et al. 2020)
	N1-4(HMT)	qss3 <sup>-</sup>	No sporulation	Similar to WT with pH control	CRISPR-Cas9	(Feng et al. 2020)
	N1-4(HMT)	qss5	No sporulation	Similar to WT with pH control	CRISPR-Cas9	(Feng et al. 2020)



Fig. 2 Morphological changes and molecular regulation of sporulation in C. acetobutylicum modified from (Al-Hinai et al. 2015). The regulation of the sporulation process is mainly realized by the modulation of the transcription in each compartment. Post-translational regulation enables the activation of Spo0A and sporulation-specific transcription factors ( $\sigma^F$ ,  $\sigma^G$ ,  $\sigma^E$ ). The activation mechanism of  $\sigma^{\acute{G}}$ has not been investigated in C. acetobutylicum. Inactive transcriptional regulators are in grey, and active transcriptional regulators are in orange. DPA, dipicolinic acid; Sasps, small acid-soluble proteins. Black arrows indicate post-translational regulations, blue arrows transcriptional regulation. Arrows with short dashes indicate interactions described only in C. acetobutylicum, arrows with long dashes observed only in C. beijerinckii, and full arrows indicate interactions described in C. acetobutylicum and other clostridia



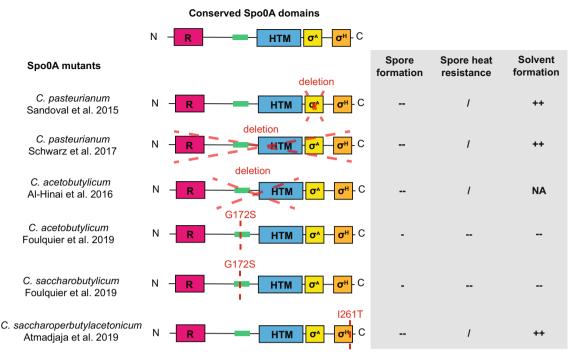
Firmicutes and which are putatively involved in sporulation regulation (Fig. 3). Insertional mutations were shown to impair the protein function, blocking the central role of Spo0A in solventogenesis and sporulation regulation (Harris et al. 2002; Wilkinson and Young 1994). However, due to the gene engineering techniques used, the impacts of polar effects on the phenotype cannot be excluded (Bayat et al. 2018), but with the development of markerless gene engineering methods, precise mutations of *spo0A* were generated.

Mutations (deletions and single nucleotide modifications) in the putative  $\sigma$  factor activator domains did not cause a decrease in solvent production, as shown in Fig. 3. Like in *Bacillus subtilis* (Schmeisser et al. 2000), a mutation in the putative  $\sigma^H$  activator region disrupted the sporulation of *C. saccharoperbutylacetonicum* (I261T). In *Bacillus*, sporulation impairment was explained by a loss in the binding affinity of Spo0A for *spoIIE* and *spoIIA* promoters. Mutations in the region upstream from the DNA binding domain impaired

solvent production and decreased sporulation efficiency in C. acetobutylicum and C. saccharobutylicum (Foulquier et al. 2019). In C. pasteurianum, a deletion in the  $\sigma^A$  activator region disrupted sporulation and increased solvent production (Sandoval et al. 2015). Surprisingly, in C. pasteurianum, the deletion of most of the gene (816 bp out of 822) led to the same phenotype (Schwarz et al. 2017). Spo0A seems to play a different role in sporulation regulation in C. pasteurianum.

Next to the integrity of the *spo0A* gene, intracellular Spo0A levels appear to also play a role in sporulation regulation. In the non-sporulating strain, *Clostridium* sp. MF28, relatively low *spo0A* expression levels were detected, compared to other solventogenic clostridia, even though high solvent titers could be reached (Li and He 2016). In *C. acetobutylicum*, *spo0A* overexpression increased sporulation independently from the promoter region used (Ehsaan et al. 2016; Harris et al. 2000; Tracy et al. 2008). In contrast, in *C. beijerinckii*, *spo0A* overexpression led to a decrease in both sporulation and solvent





**Fig. 3** Mutations and associated phenotypes of markerless spo0A-strains. Five conserved regions are indicated by colored boxes; R: signal receiver domain, Green box: conserved region with no known function, HTM: helix turn motif,  $\sigma^A$ : putative  $\sigma^A$  activator region,  $\sigma^H$ : putative  $\sigma^H$  activator region. The ability to sporulate, to form heat resistant spores, and to produce solvent is indicated next to the scheme

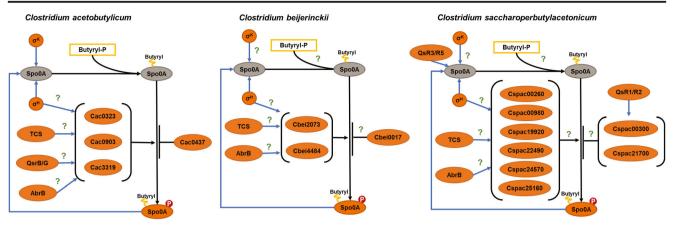
of the  $spo\,0A$  mutation present in each mutant strain; -: indicate a decrease compared to the wild type phenotype; -: indicate the abolition of the feature in the mutant compared to the wild type phenotype; /: indicate that this characteristic could not be evaluated; ++ indicate an increase compared to the wild type phenotype and NA stands for no data available

production (Kolek et al. 2017). A slight change in Spo0A homeostasis might lead to a different regulation of sporulation and solventogenesis. No detailed study on the variation of active Spo0A and its impact on sporulation has been done in solventogenic clostridia to confirm this hypothesis. In *Bacillus*, depending on the intracellular concentration of phosphorylated Spo0A, differences in the expression of the Spo0A regulon were described (Fujita et al. 2005; Narula et al. 2012).

Once spo0A is transcribed and translated, Spo0A is activated by at least two post-translational modifications: acylation and phosphorylation, as illustrated in Fig. 4. Protein acylation is a post-translational modification that consists in adding an acyl group to a lysine residue. This reaction is reversible and does not need the intervention of an enzyme. Acylation neutralizes the negative charge of the lysine residue, altering the protein structure and its interaction with other proteins, cofactors, or substrates (Macek et al. 2019). Interestingly, Spo0A acylation was only reported in C. acetobutylicum (Xu et al. 2018). In *Bacillus*, acylation was also linked with sporulation (Kosono et al. 2015), but it was observed only for latesporulation stage proteins (CotE, CotO, Cse15, SpoIVD, and SpoVR). Xu et al. showed in their study in C. acetobutylicum that several key proteins involved in metabolism and life cycle, such as Buk and Spo0A, were butyrylated during cultivation (Xu et al. 2018). Two butyrylation sites were detected in the Spo0A sequence, one close to the phosphorylated domain and another on the DNA binding domain, and replacing the lysine residue (K217) located in the DNA binding domain with glutamine decreased the DNA binding affinity of Spo0A. While the wild-type Spo0A could bind to its binding motif in the promoter region of Spo0A, the mutated Spo0A could not. This result suggests that Spo0A butyrylation is necessary for Spo0A activity and its autoregulation.

In Clostridium, the phosphorylation mechanism activating Spo0A also contrasts with the phosphorelay system described in B. subtilis (Paredes et al. 2005), in that it relies on orphan histidine kinases, as illustrated in Fig. 4. Orphan histidine kinases are part of two-component quorum sensing systems (TCS). TCS usually consists of a sensor histidine kinase and a response regulator located in the same operon. However, isolated genes encoding sensor histidine kinases or response regulators were identified in the genome of numerous bacteria and especially in Firmicutes. Since these genes are not co-located with a gene encoding a specific sensor protein or response regulator, they are labeled orphans (Davidson et al. 2018; Williams and Whitworth 2010). Unlike regular TCS kinases, orphan histidine kinases can modulate several response regulators. In clostridia, orphan histidine kinases are integrated for signal transduction to the sporulation regulation network (Freedman et al. 2019; Paredes-Sabja et al. 2014; Steiner et al. 2011; X. Xin et al. 2020). In C. acetobutylicum, the impact of four histidine kinases (Cac0323, Cac0437, Cac0903 and Cac3319) on sporulation has





**Fig. 4** Transcriptional and post-translational regulation of Spo0A in *C. acetobutylicum*, *C. beijerinckii*, *C. saccharoperbutylacetonicum*. Figure adapted from (Al-Hinai et al. 2015) with results from (Feng et al. 2020; Kotte et al. 2020; X. Xin et al. 2020; J.-Y. Xu et al. 2018;

Y. Yang et al. 2020). Blue arrows transcriptional regulation and black arrows post-translational regulation. The interrogation marks indicate interactions remain to be proven experimentally

been studied (Steiner et al. 2011). In vitro studies proved that two of these kinases, Cac0903 and Cac3319, are able to activate Spo0A. The disruption of cac0323, cac0903, and cac3319 resulted in a decreased sporulation, in contrast to cac0437 deficient strains hyper-sporulated (earlier sporulation and a fifteen-fold increase in heat resistant spores). In C. beijerinckii NCIM 8052, six homologs of these kinases were disrupted in a recent study (Xin et al. 2020). A significant decrease in sporulation efficiency (between 70 and 90%) coupled with an increase in solvent production of 38% and 14% were reported only for the  $\triangle cbei2073$  and  $\triangle cbei4484$  mutants, respectively. Interactions between these histidine kinases and Spo0A remain to be studied. Recently Seo et al. analyzed the genome of the hyper-butanolproducing mutant BA101 strain and identified mutations in the genome that could explain its reduced sporulation (Seo et al. 2021). Two mutations could explain their asporogenous phenotype; one in a gene encoding a histidine kinase (cbei 3078) and a second mutation in a gene coding for a serine/threonine protein phosphatase-like protein (cbei 4400). Similarly, the mutation in cbei 4400 was also reported in SA-1, another hyper-producing C. beijerinckii strain with delayed sporulation (Sandoval-Espinola et al. 2013).

Once phosphorylated, Spo0A binds to the 0A box to regulate the expression of sporulation- and solvent genes (Ravagnani et al. 2000; Zhao et al. 2002). Differences in the motif sequence (Ravagnani et al. 2000), the number (Sullivan and Bennett 2006), and the location of these 0A boxes (Patakova et al. 2013) between solventogenic clostridia were detected. These discrepancies further illustrate the variations in the role of Spo0A in solventogenic clostridia.

#### Stages of sporulation

In Fig. 2, a scheme of the stages of sporulation and phenotypic changes during sporulation is shown. Stage I of the sporulation process starts with the DNA replication and the positioning of Z-

rings, close to the poles, to prepare for asymmetric division (Barák et al. 2019). Solvent production is initiated, and in most clostridia, Stage I coincides with a morphological change. The cell swells due to the accumulation of a starch-like polymer called granulose. In *C. acetobutylicum*, granulose and sporulation are regulated by an Agr quorum system (Steiner et al. 2012). In other solventogenic clostridia, only one study links granulose production and sporulation (Ravagnani et al. 2000). While investigating the role of Spo0A in *C. beijerinckii*, Ravagnani et al. discovered that Spo0A was essential for the accumulation of granulose. Still, granulose accumulation was not described in all solventogenic clostridia; *C. tetanomorphum*, for example, does not produce any granulose during the sporulation process (Patakova et al. 2014).

Once activated, Spo0A promotes the expression of spoIIE, triggering the entrance in stage II of the sporulation process. During Stage II, a septum forms on one pole of the cell dividing it into two compartments, the forespore and the mother cell. In Bacillus, this asymmetric division is orchestrated by SpoIIE and the cell division proteins (involved in binary fission) (Barák et al. 2019). SpoIIE simultaneously activates  $\sigma^{F}$ , the first sporulation-specific sigma factor, which is kept inactive by SpoIIAB. SpoIIE phosphorylates SpoIIAA, which binds the anti-sigma factor SpoIIAB which then releases σ<sup>F</sup> in the forespore. In solventogenic clostridia, the role of SpoIIE was studied in C. acetobutylicum and C. beijerinckii through the generation of SpoIIE deficient mutants (Bi et al. 2011; Diallo et al. 2020b; Scotcher and Bennett 2005). Both mutants could no longer sporulate, but phenotypical differences between C. beijerinckii  $\Delta spoIIE$  and C. acetobutylicum  $\triangle spoIIE$  were noted. In C. acetobutylicum, spoIIE disruption prevented the formation of an asymmetric septum, while in C. beijerinckii, misplaced septa were observed. The morphology of the C. beijerinckii mutant corresponded to the spoIIE mutants described for Bacillus. This discordance in the mutants' morphology indicates differences in the asymmetric



septation mechanism of solventogenic clostridia. Another difference was observed; no critical change in the expression of sigF and sigE was detected in C. beijerinckii  $\Delta spoIIE$ , contrasting with C. acetobutylicum  $\Delta spoIIE$ , where sigF and sigE were downregulated.

The completion of asymmetric division marks the entrance in Stage III, during which the septum is prolonged and surrounds the whole forespore. This phenomenon, called engulfment, yields an isolated compartment surrounded by two membranes within the mother cell. Engulfment is coordinated by proteins belonging to  $\sigma^{F}$  (in the forespore) and  $\sigma^{E}$  regulons (in the mother cell). The roles of  $\sigma^F$  and  $\sigma^E$  were studied only in C. acetobutylicum ATCC 824, where sigF and sigE mutants were generated. Once again, these mutants' cell morphology did not correspond to the morphology of sigF and sigE mutants generated in Bacillus or other clostridia (Al-Hinai et al. 2015). In both C. acetobutylicum mutants, the sporulation process was interrupted before the formation of the asymmetric septum, as observed for the spoIIE mutant. This suggests an earlier function of  $\sigma^F$  and  $\sigma^E$  in sporulation regulation (Jones et al. 2011; Tracy et al. 2011). The disruption of either sigF or sigE affected solventogenesis. Indeed, when mid to late exponential cells were inoculated for fermentation, solvent production decreased significantly.

After engulfment, the coat and the spore cortex are formed during Stage IV and V. Dipicolinic acid (DPA) is produced in the mother cell through the conversion of aspartate, and then transported into the forespore, in exchange for water, to bind Ca<sup>2+</sup> in the forespore (Piggot and Hilbert 2004). Ca<sup>2+</sup>-DPA attaches to the forespore's DNA to protect it against heat damages (Jamroskovic et al. 2016; Paidhungat et al. 2000; Paredes-Sabja et al. 2008). In the meantime, coat proteins assemble around the mother-cell-derived membrane of the forespore (Shen et al. 2019). All these events are coordinated by proteins regulated by  $\sigma^G$  in the prespore and  $\sigma^K$  in the mother cell. In contrast to pathogenic clostridia, these late stages of sporulation are barely studied in solventogenic clostridia. Nonetheless,  $\sigma^K$  and  $\sigma^G$  deficient mutants in C. acetobutylicum confirmed their crucial role in sporulation (Al-Hinai et al. 2014; Tracy et al. 2011). Disruption of sigG interrupted sporulation after engulfment as described in Bacillus and did not affect solventogenesis. The disruption of sigK, though, did not yield the same phenotype as the Bacillus mutant. In C. acetobutylicum,  $\sigma^{K}$  regulates sporulation initiation and sporulation maturation, as described in other clostridia (Al-Hinai et al. 2015). No Spo0A proteins were detected in sigK mutants of C. acetobutylicum, and the introduction of an extrachromosomal copy of spo0A under control of the ptb promoter led to the formation of heat-sensitive spores.

During Stages VI and VII, the spore matures as the size of both cortex and coat increases. Sporulation finishes with the lysis of the mother cell and the release of the spore in the environment. A study on an autolysin deficient mutant, *C. acetobutylicum lyc::int(72)*, generated in *C. acetobutylicum* ATCC 824, showed

that autolysins are needed to complete sporulation (Liu et al. 2015). The number of viable spores produced by *C. acetobutylicum lyc::int(72)* decreased by 30% compared to the wild-type strain. According to the authors, cell lysis might provide additional nutrients to sporulating cells and thus be required for successful sporulation.

Only a few studies on the molecular regulation of sporulation in solventogenic clostridia have been published (Al-Hinai et al. 2014; Bi et al. 2011; Diallo et al. 2020b; Jones et al. 2008, 2011; Ravagnani et al. 2000; Scotcher et al. 2005; Scotcher and Bennett 2005; Steiner et al. 2011, 2012; Tracy et al. 2011). Studies were mainly realized in *C. acetobutylicum* ATCC 824, and studies with other solventogenic strains show variations in the role of Spo0A and SpoIIE in the regulation of sporulation. Moreover, the post-translational regulation for sigma factor activation, observed in *Bacillus* and *Clostridioides* (former *Clostridium*) *difficile*, still needs to be investigated in solventogenic clostridia. Thus, several grey areas remain concerning the regulatory mechanisms controlling the sporulation cascade in solventogenic clostridia.

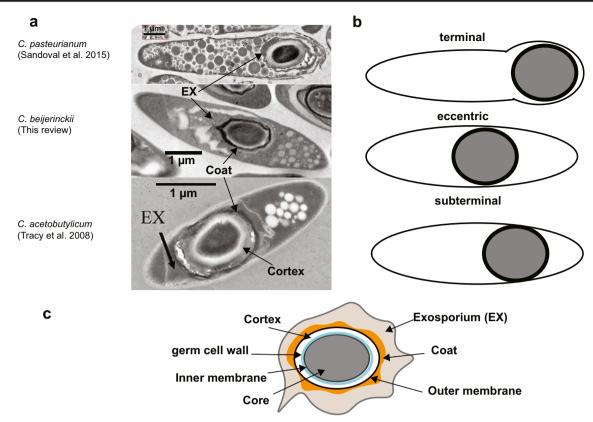
#### The clostridial endospore

At the end of sporulation, an endospore is released into the environment. The endospore is highly dehydrated and organized in proteinous layers protecting the core, which hosts the DNA. Five layers surround the core: the inner membrane, the germ cell wall, the cortex, the outer membrane, and the coat (Fig. 5b). These layers ensure a robust protection of the core against chemicals, oxygen, enzymes, and heat. In the core, the DNA is bound to small acid-soluble proteins (Sasps), ribosomes, enzymes, and DPA. The DPA content can reach up to 25% of the spore's dry weight (Paredes-Sabia et al. 2014).

Spores are characterized by their size, shape, and location in cells (Dürre 2005, 2014). There is not a typical morphology for all clostridial spores, and few studies have been done to compare the spore morphology of clostridial strains. One study (Berezina et al. 2012) compared the spore morphology of the four main solventogenic species (*C. acetobutylicum*, *C. beijerinckii*, *C. saccharobutylicum*, and *C. saccharoperbutylacetonicum*) and showed that they all possess an oval shape. This characteristic cannot be generalized to all solventogenic clostridia since *C. tetanomorphum* yields round-shape spores (Patakova et al. 2014). The spore's location may vary within the mother cell (Fig. 5a, b) from eccentric to terminal (Dürre 2005).

The spore coat (Long et al. 1983), the cortex, and even the core composition are species- and even sometimes strain-specific. For instance, differences in the spore's DPA and Ca<sup>2+</sup> content were detected, resulting in a difference in heat resistance and that the Ca<sup>2+</sup>/DPA ratio was not species but strain-specific (Jamroskovic et al. 2016) showed that a high Ca<sup>2+</sup>/DPA ratio correlates with more resistant spores. *C. acetobutylicum* ATCC 824 spores can sustain a long heat treatment (> 5 min) at 70 °C,





**Fig. 5** Morphology and composition of the clostridial endospore; a Transmission electron micrographs of mature endospores from three solventogenic clostridia. Depending on the species, the size of the

cortex, as well as the location of the endospore in the mother cell, changes; **b** Possible location of the endospore in solventogenic clostridia; **c** Composition of the endospore. EX stands for exosporium

while *C. beijerinckii* NCIMB 8052 spores germinate better with a short heat treatment (1 min) at higher temperatures (around 90 °C). The heat-shock treatment has to be adapted to the species to give the highest germination efficiency. Knowledge of these differences is crucial as it affects germination efficiency after a heat-shock treatment before fermentation (Jabbari et al. 2013; Li et al. 2011; Steiner et al. 2012).

#### **Sporulation triggers**

The sporulation is known to be a response to stressful conditions. The primary triggers of sporulation in *Bacillus* species have been shown to be nutrient starvation and high cell density (Awang et al. 1992; Basu et al. 2017). In contrast to bacilli, where the cessation of growth occurs due to a lack of nutrients, in *Clostridium*, an excess of a carbon or nitrogen source led to growth cessation (Dürre 2005; U. Sauer et al. 1995; Woods and Jones 1987). Furthermore, unlike bacilli, clostridia are anaerobic bacteria; thus, oxygen is a significant stress factor for the cells and triggers sporulation.

Several studies (Awang et al. 1992; Kolek et al. 2017; Long et al. 1983; Sedlar et al. 2021; Woods and Jones 1987) have reported the substantial impact of medium composition on sporulation initiation and sporulation efficiency (number of spore generated and the spores that can germinate) and

suggested a link between carbon source, mineral content, external pH, and the number of spores produced.

#### The carbon source

Solventogenic clostridia can ferment several carbohydrates (including C6 and C5 sugars and sugar polymers such as starch or xylan), yet, the solvent yield varies depending on the carbon source (Awang et al. 1992; Shaheen et al. 2000). Similarly, sporulation efficiency depends on the carbon source, as described for C. saccharobutylicum NCP 262 (Long et al. 1983). A complementary study with the same strain assessed the effect of 13 different carbon sources (carboxymethylcellulose, xylan, inulin, a starch/glucose mix, lactose, cellobiose, sucrose, maltose, glucose, mannose, fructose, galactose, and xylose) on both sporulation and solvent formation (Awang et al. 1992). Depending on the carbohydrate, sporulation frequency varied up to 44%, with glucose utilization leading to the most spores. Likewise, when grown on rhamnose, a decrease in spore formation was observed in C. beijerinckii cultures (Diallo et al. 2018). Recently Sedlar et al. observed that C. beijerinckii NCIMB 8052 and C. beijerinckii NRRLB 598 sporulated when glycerol was added to the medium instead of glucose (Sedlar et al. 2021). Surprisingly the opposite was observed in C. beijerinckii (formerly C. diolis) DSM 15410 cultures. The substrate may also



accelerate sporulation initiation. For instance, xylose-fed cultures of *C. acetobutylicum* BOH3 sporulated earlier than glucose-fed cultures (Basu et al. 2017). In addition to the nature of the carbohydrate, its concentration also affects sporulation. High glucose concentrations doubled the number of endospores generated by *C. saccharobutylicum* NCP 262 (Long et al. 1984a).

These observations have been confirmed by studies on carbon metabolite repression in clostridia. The carbon catabolite repression protein A (CcpA) regulates the carbon catabolite repression in Firmicutes and is involved in triggering sporulation in pathogenic clostridia. CcpA activates (Varga et al. 2004) or represses sporulation (Antunes et al. 2011) depending on the species. Still, in C. acetobutylicum, CcpA positively regulates sporulation (Ren et al. 2012). In a CcpA deficient strain, sporulation was delayed, and the sporulation efficiency decreased (Table 2). Besides, transcriptome analysis of the mutant strain performed by Ren et al. suggested that CcpA represses abrB homologs and promotes the expression of the sporulation-specific sigma factors (sigE, sigG, sigK). This modification of the expression profile of those sporulationrelated genes might have altered sporulation in the CcpA deficient C. acetobutylicum strain.

#### Other media components

Solventogenic clostridia are currently investigated for their potential to produce solvents from complex feedstock such a lignocellulosic and algal feedstocks. Pretreatment of these feedstocks is necessary to the utilization by the bacteria of the carbohydrates present. During the pretreatment, di- and monosaccharides, as well as inhibitory chemicals (salts, furfurans and phenolic compounds), are formed. Studies have been conducted to evaluate the impact of these toxic compounds on cell growth and solvent formation. Hence, few reports on their effect on sporulation can be found; still, three transcriptomic studies of C. beijerinckii and C. acetobutylicum cultures exposed to phenolic compounds detected changes in the expression of sporulation genes. Exposure to ferulic acid (Lee et al. 2015) and syringaldehyde (Ezeji et al. 2007) caused an upregulation of the late-stage sporulation genes C. beijerinckii. In C. acetobutylicum, a recent study showed through a gene coexpression network analysis (Liu et al. 2020) that exposure to vanillin and p-coumaric acid disturbed the transcription of early sporulation genes (spo0A, spoIIE, spoIIP) and sporulation specific sigma factors.

Acids, various metals and minerals, vitamins, and amino acids also affect both solvent production and sporulation in clostridia (List et al. 2019; Mukherjee et al. 2019; Nimbalkar et al. 2018, 2019; Reeve and Reid 2016), but few studies mentioned their impact on sporulation. Long et al. (1984a) investigated butyrate and acetate's effect on sporulation in *C. saccharobutylicum* by adding them to the medium at the start of the fermentation in different concentrations. Even though the addition of acids was not necessary for sporulation,

it increased the number of spores present in the culture by 40 to 100% for concentrations between 1 and 4 g L<sup>-1</sup>.

As for the impact of other media components on sporulation, one study reports that the addition of adenine in the media caused a 20-h delay in the onset of sporulation in *C. saccharoperbutylacetonicum* cultures (Kiyoshi et al. 2017). It is worth noting that, depending on the species, a compound can have an opposite impact on sporulation. For example, in *C. perfringens*, iron is necessary for sporulation (Lee et al. 1978), while its addition to the medium impairs sporulation in *C. sporogenes* (Mah et al. 2008).

Solventogenic clostridia harbor sporulation proteins requiring metal-containing cofactors; thus, the media's metal content is expected to impact sporulation regulation. For instance, homologs of SpoIIE, SpoIIO and CsfB, an anti-sigma factor of  $\sigma^{E}$  and  $\sigma^{G}$ , were identified in *Clostridium*. In *Bacillus*, their activity requires Mn<sup>2+</sup> and Zn<sup>2+</sup> respectively (Król et al. 2017; Martínez-Lumbreras et al. 2018). In Bacillus, Mn<sup>2+</sup> was proven to be crucial for SpoIIE's phosphatase activity and the oligomerization of SpoIIE, and thus, asymmetric division (Król et al. 2017). Mn<sup>2+</sup> has also been reported to be key for the development of heat-resistant spores in C. botulinum (Lenz and Vogel 2014). In C. difficile, Zn<sup>2+</sup> is necessary for the formation of the SpoIIQ-SpoIIIAH complex, involved in engulfment and essential for the transit of molecules between mother cell and forespore (Serrano et al. 2016). Zn<sup>2+</sup> was reported to promote sporulation in C. botulinum (Kihm et al. 1988) but to inhibit sporulation of C. sporogenes when the concentration in the medium exceeds 3.7 mM. (Lee et al. 2011). Ca<sup>2+</sup> is also involved in spore formation since it forms together with dipicolinic acid (DPA), and several studies have shown that Ca<sup>2+</sup>is a crucial component for spore heat resistance (Church 1959; Huang et al. 2007; Jamroskovic et al. 2016; Mah et al. 2008; Paredes-Sabja et al. 2008).

Studies of the transcriptome of wild-type and mutant *C. beijerinckii* cultures during fermentation indicated changes in the expression of genes involved in ion- and amino acid transport at sporulation initiation. In *C. beijerinckii* NRRL B598, sporulation initiation was concomitant with an upregulation of the genes encoding a magnesium transporter, and an upregulation of genes encoding potassium, sodium, and iron transporters was detected during stationary phase (Vasylkivska et al. 2019). In cultures of the asporogenous *C. beijerinckii* \(\Delta spollE\) strain, the expression of genes encoding iron transporters were downregulated during stationary phase (Diallo et al. 2020b), indicating a potential role of iron in sporulation.

#### Metabolite concentration

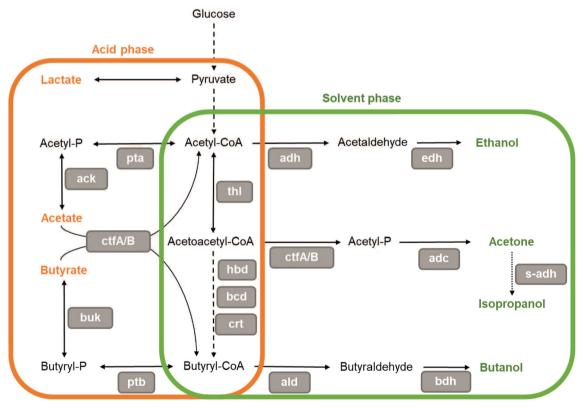
Metabolite stress has been suggested to trigger sporulation in solventogenic clostridia (Heluane et al. 2011; Sauer et al. 1995; Tomas et al. 2004; Zheng et al. 2009). When grown in batch reactors, solventogenic clostridia ferment the



available carbohydrates into carboxylic acids, mainly acetate and butyrate, which accumulate in the culture and cause a drop in pH (Fig. 6).

At the onset of solventogenesis and sporulation, acids are reassimilated and converted into solvents, resulting in a rise of pH in the culture. While solvent formation enables short-term relief from the pH stress, sporulation is regarded as a long-term stress response mechanism, protecting the cells from metabolic stress and interrupting sugar degradation (U. Sauer et al. 1995). Acetate and butyrate accumulation during exponential growth is proposed to trigger both solventogenesis and sporulation (Sauer et al. 1995; Thorn et al. 2013). In C. acetobutylicum, a peak in the intracellular undissociated acid concentration was observed at the start of the solventogenesis (Terracciano and Kashket 1986; Yang et al. 2013). Characterization of C. acetobutylicum recombinants deficient in phosphotransbutyrylase (Ptb), butyrate kinase (Buk) and acetate kinase (Ack) (Desai and Papoutsakis 1999; Green et al. 1996; Harris et al. 2000; Kuit et al. 2012) suggested that instead of the concentration of undissociated acids, the intracellular concentration of butyryl phosphate (BuP) might trigger both sporulation and solventogenesis. BuP is an intermediary metabolite in the ABE metabolic pathway (Fig. 6), formed during acidogenesis during butyrate formation. Studies evaluating the intracellular concentration of BuP during batch cultivation showed that BuP was indeed accumulated in the cell (Xu et al. 2018; Zhao et al. 2005). Two peaks in the cytoplasmic BuP concentration were detected inside the cells, one at the beginning of the cultivation and a second one coinciding with solventogenesis and sporulation initiation (Y. Zhao et al. 2005). It was suggested that BuP acted as a phosphate donor enabling the activation of Spo0A, the master regulator of sporulation and solventogenesis (Kuit 2013; Zhao et al. 2005), but recent data (Xu et al. 2018) present another post-translational regulation mechanism: protein butyrylation (see section on the initiation of sporulation).

Butanol has also been suspected of triggering sporulation (Zheng et al. 2009). Even though solventogenic clostridia naturally produce butanol, it affects cell growth when its



**Fig. 6** Simplified acetone-butanol-ethanol metabolic pathway in solventogenic clostridia. Some strains harbor a secondary alcohol dehydrogenase (s-adh) that enables the formation of isopropanol. In *C. acetobutylicum*, the acidogenic phase and solventogenic phase succeed each other during the fermentation. During exponential growth, the substrate is metabolized to form lactate, acetate, and butyrate during the acid phase. At stationary phase, the acids are reassimilated, and the culture produces ethanol, acetone (or isopropanol), and butanol. However, in other solventogenic species (*C. beijerinckii* for example), acidogenic and solventogenic phase occur concomitantly and solvent production starts during the exponential phase. The enzymes involved in the

metabolic pathway are in grey boxes: pta, phosphotransacetylase; ack, acetate kinase; thl, thiolase; hbd, 3-hydroxybutyryl-CoAdehydrogenase; crt, crotonase; bcd, butyryl-CoA-dehydrogenase; ctfA/B, CoA-transferase; buk, butyrate kinase; ptb, phosphotransbutyrylase; adh, aldehyde/alcohol dehydrogenase; edh, ethanol dehydrogenase; adc, acetoacetate decarboxylase; s-adh, secondary alcohol dehydrogenase; ald, butyraldehyde dehydrogenase; bdh, butanol dehydrogenase and -P stands for phosphate. As indicated by its title, this figure represents a simplified ABE pathway; indeed, studies have shown a diversity in the structure and number of enzymes involved depending on the solventogenic species

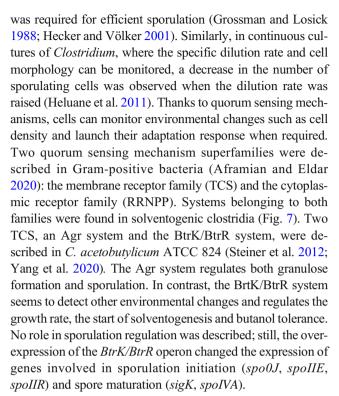


concentration exceeds 0.5% v/v in the culture (Sedlar et al. 2018) and becomes lethal, around 1.5% v/v (Sedlar et al. 2019). Butanol concentration being a stress factor for the cells, researchers supposed that a rise in butanol concentration would initiate sporulation before it reaches toxic concentration. However, a decrease in granulose and spore number was observed in butanol stressed C. beijerinckii cultures (Sedlar et al. 2019). Transcriptional studies on butanol stressed cultures of C. acetobutylicum and C. beijerinckii showed no notable changes in the expression of the genes encoding the sporulation-specific sigma factors (sigF, sigE, sigG, sigK) (Patakova et al. 2019; Tomas et al. 2004). In C. acetobutylicum ATCC 824, no butanol-dependent impact on sporulation efficiency was described. Instead, a decrease in the expression of genes encoding small acid-soluble proteins was observed (Schwarz et al. 2012; Tomas et al. 2004). These proteins protect the DNA present in the spores and are crucial for their heat resistance (Leggett et al. 2012).

Secondary metabolites have also been reported to promote sporulation in solventogenic clostridia. Two categories of secondary metabolite biosynthesis gene clusters were identified in solventogenic clostridia (Letzel et al. 2013), polyketide- and ranthipeptide biosynthesis clusters. Polyketides have been studied in C. acetobutylicum and C. saccharoperbutylacetonicum. In C. saccharoperbutylacetonicum, polyketides involved in sporulation initiation, solvent formation, and tolerance were detected (Kosaka et al. 2007; Li et al. 2020a). In C. acetobutylicum, three polyketides were detected, and the structures of two of them, clostrienose and clostrienoic acid, were solved (Herman et al. 2017). In both species, the disruption of polyketide clusters decreased sporulation (Table 2). In C. beijerinckii, polyketides might also intervene in the regulation of sporulation; the interruption of sporulation in C. beijerinckii \( \Delta spoIIE \) affected the expression of the polyketide gene cluster (Diallo et al. 2020b). Recently, the role of ranthipeptides, secondary metabolites belonging to the ribosomally synthesized and post-translationally modified peptide (RiPP) superfamily, was studied in C. beijerinckii and C. ljungdahlii (Chen et al. 2020). In C. beijerinckii, the genes encoding the precursor peptide and the radical SAM protein were disrupted, and the impact on the transcriptome was evaluated by RNA sequencing. In the mutant strain, sporulation genes were strongly downregulated and the agr locus encoding the Agr quorum sensing mechanism was upregulated. Secondary metabolites seem to play an important role in the initiation of sporulation, even so the interactions between the polyketides and ranthipeptides with sporulation regulators remain to be investigated.

#### Quorum sensing and cell density

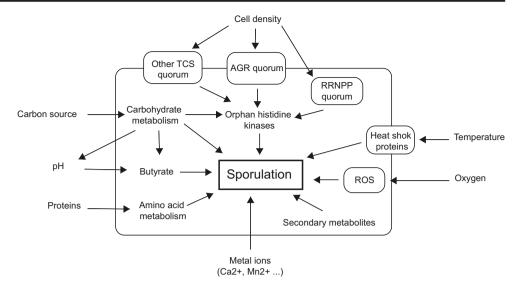
As described for *B. subtilis* (Bischofs et al. 2009; Grossman and Losick 1988), cell density might regulate sporulation in solventogenic clostridia. In *Bacillus*, a minimum cell density



RRNPP quorum-sensing systems positively regulating sporulation were recently identified in C. acetobutylicum (Kotte et al. 2020) and C. saccharoperbutylacetonicum (Feng et al. 2020). These systems named Qss are composed of two proteins: the receptor Osr, which harbors a helix-turnhelix region (HTH) common to DNA binding domains, and the signal peptide precursor Qsp. In the genome of C. acetobutylicum, eight putative RRNPP systems were detected, but only two seem to intervene in sporulation regulation (Table 2). In C. saccharoperbutylacetonicum, four out of the five identified systems affected sporulation. The deletion of qssR1 and qssR2 increased sporulation efficiency, while sporulation frequency decreased in  $\Delta qssR3$  and  $\Delta qssR5$  mutants. Moreover, spo0A expression decreased in  $\Delta qssR3$  and  $\Delta qssR5$  mutants and qssR1/2 affected the expression of spo0E-like genes, putatively involved in the activation of Spo0A. However, no regulation mechanism was described in any of these species. In C. difficile, a similar RRNPP system (RstA) was described. RstA was reported to modulate the expression of sporulation genes through its DNA binding domain (Edwards et al. 2020). A similar regulation mechanism might take place in solventogenic clostridia. Changes in process parameters such as temperature or dilution rate also appear to trigger sporulation (Heluane et al. 2011; Kiyoshi et al. 2017). Sporulation is initiated by a considerable number of triggers (Fig. 7), and several quorum-sensing mechanisms and messengers interact with transcriptional regulators to integrate all the environmental cues and induce a cellular response.



Fig. 7 Triggers of sporulation in solventogenic clostridia and the signal transduction systems involved in sporulation regulation; TCS, two-component system family; RRNPP, Rgg/Rap/NprR/PlcR/PrgX quorum system family; ROS, reactive oxygen species-dependent mechanisms



## Sporulation in another industrially relevant group: the acetogens

Acetogenic bacteria are C-1 compounds utilizing microorganisms. They can fix CO<sub>2</sub>, CO, or formate to produce acetate through the Wood-Ljungdahl pathway. Because of their unique metabolism and the development of biobased processes for chemical production, acetogens caught the interest of the biotechnological industry. Anaerobic acetogens are particularly interesting for industrial use since anaerobic conditions reduce flammability concerns linked to the use of CO and H<sub>2</sub> and contamination risks (Liew et al. 2016). Fourteen acetogenic species have been identified within the Clostridium genus, and among which six (C. ljungdahlii, C. coskatii, C. drakei, C. carboxidivorans, C. ragsdalei, C. autoethanogenum) are also natural solvent producers. Numerous studies on these clostridial acetogens have been done in the last ten years and one company, Lanzatech, managed to scale up its gas fermenting process based on CO conversion to ethanol and operates a commercial plant (LanzaTech 2019).

Few data are available on the sporulation mechanism in acetogens. In fact, sporulation was rarely observed in the cultures of acetogenic clostridia. Still, some brief descriptions of the morphology of sporulating cells can be found for *C. coskatii, C. drakei, C. carboxidivorans, C. ragsdalei*, and *C. autoethanogenum* (Abrini et al. 1994; Huhnke et al. 2008; Liou et al. 2005; Zahn and Saxena 2011). As described for solventogenic clostridia, these species form sub-terminal and terminal spores. During sporulation, swelling of the cells was reported in *C. coskatii* (Zahn and Saxena 2011) and *C. carboxidivorans* (Liou et al. 2005), as described for other solventogenic clostridia. In contrast, no swelling of *C. autoethanogenum* (Abrini et al. 1994) and *C. ragsdalei* (Huhnke et al. 2008) cells was observed. A significant

difference to most solventogenic clostridia is the absence of granulose in sporulating cells of acetogens.

To verify whether the reduced sporulation was due to a difference in the set of sporulation genes, we performed a blastp analysis (http://blast.ncbi.nlm.nih.gov/Blast.cgi) based on the minimal set of sporulation genes defined by Galperin et al. (Galperin et al. 2012). Homologs to the 52 core sporulation genes were found in the above-mentioned solvent producing acetogens. Further, we searched for homologs of genes known to be involved in the initiation of sporulation in solventogenic clostridia. In all the genomes analyzed, homologs of the Agr and RRNPP quorum-sensing systems, as well as homologs of orphan kinases, were identified. As for secondary metabolites, no polyketide encoding gene cluster (Letzel et al. 2013) was found; still, all strains harbor ranthipeptide producing enzymes. A recent study on the ranthipeptides produced by C. beijerinckii and C. ljungdahlii highlighted their importance in regulating cellular events (Chen et al. 2020); still, no link with sporulation in C. ljungdahlii could be made. Interestingly, homologs to the enzymes involved in granulose formation were found in C. drakei and C. carboxidivorans, but not in C. ljungdahlii, C. autoethanogenum or C. coskatii.

Therefore, it seems that the low sporulation frequency observed in acetogens is not due to the absence of sporulation genes in the genome. Differences in triggers, transcriptional or post-transcriptional regulations of sporulation proteins during fermentation could explain this low frequency. Using transcriptome analysis of *C. ljungdahlii* and *C. autoethanogenum* generated by Philips et al. 2017, Aklujkar et al. 2017, Whitham et al. 2015 and Diender et al. 2019, we looked at the expression profile of sporulation gene homologs under various conditions. When grown under salt stress conditions, *C. ljungdahlii* did not form spores despite the upregulation of *spo0A* (Philips et al. 2017). An interesting study on the variation of the transcriptome of

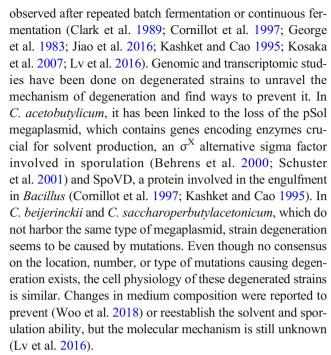


C. ljungdahlii under lithotrophic and organotrophic growth conditions (CO<sub>2</sub>/H<sub>2</sub> vs. fructose) reported the upregulation of an agr homolog and nine  $\sigma^{E}$  regulated sporulation genes during lithotrophic growth (Aklujkar et al. 2017). Surprisingly, no change in spo0A expression was observed. A similar change was observed when C. ljungdahlii was exposed to oxygen (Whitham et al. 2015). The homologs of abrB, sigE, and three late-stage sporulation genes were upregulated in the O2 challenged cultures. These results show that sporulation genes are expressed during the fermentation in C. ljungdahlii and that their expression varied in response to the environmental changes (changes in carbon source and presence of oxygen) as observed in other clostridia. A similar observation was made when studying the transcriptome analysis done on C. autoethanogenum (Diender et al. 2019). Diender et al. studied variations of the transcriptome of C. autoethanogenum in CO/H<sub>2</sub> compared to CO grown-cultures and in mono-culture compared to coculture with C. kluyveri. In CO/H<sub>2</sub> grown-cultures, several stage II and III sporulation genes were upregulated, and a slight increase in the expression of the sporulation-specific sigma factors was detected. In the synthetic co-cultures, an up-regulation of spo0A and spoIIE homologs was observed, while sigF and some sporulation genes involved in cortex formation were down-regulated. According to these results, the regulation of the sporulation in C. ljungdahlii and C. autoethanogenum seems to have common characteristics with the systems described in other solventogenic clostridia. Like in solventogenic clostridia, carbon sources impact sporulation regulation in C. ljungdahlii and C. autoethanogenum. Indeed, their growth in lithotrophic conditions led to an upregulation of sporulation genes compared to organotrophic conditions. Moreover, the sigE and sigF regulons that have been described in C. acetobutylicum and C. beijerinckii seem to be conserved in C. ljungdahlii and C. autoethanogenum. Even so, these results are still not sufficient to claim that the regulatory network governing sporulation is identical in both acetogens and solventogenic clostridia.

# Spore formation in fermentation: enemy or ally?

# Connections between sporulation and solventogenesis

In solventogenic clostridia, sporulation and solvent production are both stress responses to unfavorable environmental conditions. Solventogenesis is thought to be triggered before sporulation (Patakova et al. 2014; Tracy et al. 2008), although several studies mention a link between sporulation and solvent production (Dürre 2014; Jones and Woods 1986; Long et al. 1984b; Rogers and Palosaari 1987; Schuster et al. 1998). Moreover, both cellular events can be lost simultaneously in a phenomenon named "strain degeneration," which is



Nevertheless, common regulators of sporulation and solventogenesis have been identified. The study of cellular signaling pathways proved a close link between sporulation and solventogenesis initiation. The RRNPP quorum sensing systems seem to promote both cellular events. Several regulators enabling the transition from exponential phase to stationary phase are crucial to both cellular events. The disruption of general regulators such as CcpA and  $\sigma^L$  impaired both phenomena (Table 2). As for the role of Spo0A, it seems to differ depending on the species. In contrast to the phenotype described in C. acetobutylicum and C. beijerinckii (see section on the initiation of sporulation), the expression of spo0A is not required for solvent formation in C. pasteurianum (Schwarz et al. 2017). Proteins involved in Spo0A activation or inhibition, such as AbrB, orphan kinases, and  $\sigma^{K}$ , contribute to the regulation of sporulation and solvent production. Studies showed, nonetheless, that decoupling sporulation and solvent formation is possible. Several solvent-producing but asporogenous mutants (Table 2) and recombinant strains have been isolated and engineered (Jones and Keis 1995; Li and He 2016).

#### Spores in industrial processes

Sporulation in solventogenic clostridia is considered a drawback for several reasons. Once sporulation is initiated, cell growth stops, and the cell's energy is used to generate metabolically inactive cells (Patakova et al. 2013). These events are undesirable in industrial settings as they negatively impact solvent productivity and cause cell wash-out in a continuous process (Li et al. 2020b; Papoutsakis 2008; Vees et al. 2020). Therefore, various attempts were made, like random



mutagenesis, inactivation of early-stage sporulation proteins, and engineering of degenerated strain (Li et al. 2020b) to obtain asporogenous solventogenic strains or to control sporulation. No reports of inducible sporulation were made in solventogenic clostridia but in C. difficile (Dembek et al. 2017). Dembek et al. controlled sporulation by introducing an anhydrotetracycline sensitive promoter upstream from spo0A. Alternatively, modifications of the process can reduce the proportion of sporulating cells. For instance, in continuous culture, the dilution rate is controlled to keep the cells in the growing phase to reduce sporulation (Mutschlechner et al. 2000). Interestingly, continuous cultures can also select for asporogenous solvent-producing strains (Meinecke et al. 1984). Meinecke et al. isolated in continuous culture under phosphate limitation a stable asporogenous strain which produced acetone and butanol as main products. For instance, in continuous culture, the dilution rate is controlled to keep the cells in the growing phase to reduce sporulation (Mutschlechner et al. 2000).

In contrast, bacterial spores can have useful applications, e.g., the pharmaceutical and agroindustry (Ricca and Cutting 2003; Wolken et al. 2003; Zhang et al. 2020). Their high resistance to heat and radiation makes them excellent bio-dosimeters. B. subtilis spores, for instance, are used to test the UV disinfection performance during drinking water purification (Mamane-Gravetz and Linden 2004). B. subtilis spores are also known to be effective biopesticides. The use of spores for enzyme immobilization is actively explored (Ugwuodo and Nwagu 2020). Compared to other immobilization supports, spores are very cheap, and several studies have highlighted the positive effects of spore immobilization on enzyme activity, stability and recovery (Falahati-Pour et al. 2015; Hosseini-Abari et al. 2016; Peng et al. 2020; Song et al. 2019). Clostridium spores are already used in the food and feed industry in Japan and China. Indeed, C. butyricum spores have been used as probiotics for several years. In fact, a large number of acid-producing Clostridium species are found in the gut of healthy individuals. They metabolize nutrients that cannot be degraded by the host. Studies have also proven that C. butyricum can prevent antibiotic-associated diarrhea and prevent C. difficile infections (Cartman 2011; Guo et al. 2020). The use of clostridial spores in the pharmaceutical industry is in the pipeline. Spores of C. novyi and C. sporogenes are being investigated as potential carriers for enzymes involved in chemotherapy (Dürre 2014; Kubiak and Minton 2015; Theys and Lambin 2015).

Some research on the integration of sporulation in the bioprocess for butanol production has been done. Spores can be used for cell immobilization. Low biomass is one of the issues of continuous culture with clostridia, and cell immobilization may prevent wash-out at high dilution rates (Vees et al. 2020). Spore can be immobilized on porous carriers (Dolejš et al. 2014; Krouwel et al. 1983) and microencapsulation (Rathore et al.

2015) to prevent cell wash-out. Microencapsulated spores can even be reused several times, enabling the production of butanol at high yields.

Moreover, sporulation enables consistent conservation of the strain characteristics, protecting the strains from stressors and degeneration. Due to the oxygen sensitivity of vegetative cells and the appearance of degenerative changes after repeated subculturing, spores are usually preferred for strain storage (Jones and Woods 1986). According to documentations on the industrial ABE processes from the 1920s to the 1980s, strains were stored as spores in sterile soil or sand in commercial plants (Jones and Woods 1986; Jones 2001). In South Africa's ABE commercial plant, multiple cycles of germination/sporulation were performed to increase solvent productivity (Jones 2001). More recently, Vrije and coworkers explored the possibility of integrating a heat treatment during the product recovery by gas stripping in repeated batch cultures (de Vrije et al. 2013). This treatment killed the remaining vegetative cells and triggered the germination of the spores present in the culture, allowing the fermentation to start again after removing the solvents without the need for a new inoculation of the culture. This procedure improved solvent recovery and prolonged strain stability.

### Conclusion and perspectives for future studies

Next to solventogenesis, sporulation is a major stationary phase event occurring during ABE fermentation. Changes in carbon sources, media components, and cell density were described as the primary triggers of sporulation. Until recently, studies were mainly conducted with first-generation substrates, but with advanced biofuel production in mind, more studies on the effect of second and third-generation feedstocks on sporulation need to be realized.

Despite being a major part of the cell growth cycle, knowledge on its regulation mechanism in solvent-producing clostridia is scarce. Sporulation in Bacillus and pathogenic clostridia is well described, but noteworthy differences in the regulatory network exist between the two genera (Galperin 2013) and even within the clostridial pathogens (Shen et al. 2019). The model established for those species might not be applicable to solventogenic clostridia. As highlighted in a previous review (Patakova et al. 2013), most research is done on C. acetobutylicum ATCC 824, but with the advances in genome sequencing and gene engineering, more strains have become genetically accessible. Recent studies in other solventogenic strains have revealed differences in the transcriptional regulation of sporulation. Hence, more research in different solventogenic clostridia is required to understand better the complex regulation of sporulation and its interaction with other cellular events.



Furthermore, investigating the reasons behind the low sporulation frequency in cultures of acetogenic clostridia could give hints on strategies to take to prevent sporulation during the ABE fermentation. Knowledge in sporulation might be applied in the design of fermentation processes at different levels, by tailoring the medium composition to reduce/increase sporulation as desired or by integrating clostridial spore in the pretreatment of the substrate by displaying hydrolases on the spore surface or for cell immobilization during a continuous process. A better knowledge of sporulation in solventogenic and acetogenic clostridia would contribute to an improvement of the ABE and gas fermentation processes for the production of fuels and chemicals from renewable resources as a step towards a more sustainable industry.

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#### **Declarations**

**Ethics approval** This article does not contain any studies with human participants or animals performed by any of the authors.

**Conflict of interest** The authors declare no competing interests.

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